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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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			_	Application Number		09/708,975					
IPE		ISMITTAL	•	Filing	Date	November 7, 2000					
	I C1\	ORM		First N	lamed Inventor	Leon, JP					
AY 112	of top used for all cor	төspondence after ir	spondence after initial filing)		Group Art Unit						
	<i> 2 </i>			Exami	ner Name	Not Yet Assigned					
S TOADE	al Number of Pages	s in This Submission	7	Attorne	ey Docket Number	06969025510					
INA				OSURES (check all that apply)							
	Fee Transmittal F	orm-In Duplicate	Assignment Papers (for an Application)			After Allowance Communication to Group					
	Fee Attached	☐ Drawing(s)			Appeal Communication to Board of Appeals and Interferences						
	Amendment / Res	sponse	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
	After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information						
	Affidavits/dec	Petition to Convert to a Provisional Application			Status Letter						
	Extension of Time	Power of Attorney, Revocation Change of Correspondence Address				Enclosure(s) identify below):					
•	Express Abandon	·	Reque	erminal Disclaimer Request for Refund			Declaration Power of Attorney Return Receipt Postcard				
•	Information Disclo	CD, Ni									
	Certified Copy of Document(s)	Deposit Account 20-14 Pursuant to the Notic Provisional Application enclosures listed und record in the above-le			ce to File Missing Parts of Non- on dated February 7, 2001, the der Other Enclosures are to be made of dentified case. The fees required are d Fee Transmittal to be deducted from						
	Response to Miss						-				
1	Response to Parts under 3 1.52 or 1.53										
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
	Firm	Townsend and Tov									
	and Individual name	. 44,612									
	Signature	Stull									
	Date	5/2/01									
	I hereby certify that th class mail in an envel	al Service wi on, D.C. 2023	th sufficient postage as first 31 on this date: May 2, 2001								
	Typed or printed name	e Andrea S./Be	ck				1 1				
	Signature	(Xndul	~			Date	5/2/11				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

950

TOTAL AMOUNT OF PAYMENT (\$)

	Complete if Know	<i>"</i>
Application Number	09/708,975	OIPE
Filing Date	November 7, 2000	
First Named Inventor	Leon, JP	MAY 1 1 2001
Examiner Name	Not Yet Assigned	3 6
Group Art Unit	2161	CENT TO LOCK MARTE
Attorney Docket No.	06969025510	AAU

TOTAL AIM	JUNI OF	CA LIVI	EM (4)	950		Altom	ву Боске	et INO.	0030		
	MI	THOD	OF PAYMENT						FEE C	ALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any over nayments to:					3. ADDITIONAL FEES						
1. Deposit Account Number Indicated fees and credit any over payments to: 20-1430		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Pald				
		105	130	205	65	Surcharge - late filing fee or oath	130				
					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Name						139	130	139	130	Non-English specification	
						147	2,520	147	2,520	For filing a request for reexamination	
						112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27							1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Paym	ent Enclos	ed:				115	110	215	55	Extension for reply within first month	110
☐ Check	☐ Cred	it card	☐ Money Order	□ Oti	her	116	390	216	195	Extension for reply within second month	
	-	CEE OF				117	890	217	445	Extension for reply within third month	
1. BASIC I	FILING FEE		LCULATION			118	1,390	218	695	Extension for reply within fourth month	
Large Entity	Small E	ntity				128	1,890	228	945	Extension for reply within fifth month	
Fee Fee			ee Description			119	310	219	155	Notice of Appeal	
Code (\$)	-	\$)		_	Fee Pald	120	310	220	155	Filing a brief in support of an appeal	
101 710			Utility filing fee	_	710	121	270	221	135	Request for oral hearing	
106 320 107 490			Design filing fee Plant filing fee	-		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710	208 3	55 F	Reissue filing fe	e		140	110	240	55	Petition to revive - unavoidable	
114 150	214 7	5 F	Provisional filing	fee		141	1,240	241	620	Petition to revive – unintentional	
			441	_		142	1,240	242	620	Utility issue fee (or reissue)	
	SUB	TOTAL	. (1)	كا	(\$)710	143	440	243	220	Design issue fee	
2. EXTRA CLA	IM FEES					144	600	244	300	Plant issue fee	
		E	Extra Fe	e from	Fee	122	130	122	130	Petitions to the Commissioner	
otal Claims 1	7 -20**	= 0		low 518 =	Paid \$0	123	50	123	50	Petitions related to provisional applications	
dependent laims 3	-3**	= 0	× [\$	= 088	\$0	126	180	126	180	Submission of Information Disclosure Stmt	
lultiple ependent			×	=		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entit	Fee	Entity Fee	Fee Descript	tion		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	Code	(\$)	•			149	710	249	355	For each additional invention to be	
103 18	203	9		Claims in excess of 20 Independent claims in excess of 3						examined (37 CFR § 1.129(b))	
102 80 104 270	202 204	40 135	•			179	710	279	355	Request for Continued Examination (RCE)	
104 270	209	135 40		Multiple dependent claim, if not paid ** Reissue independent claims over			900	169	900	Request for expedited examination of a design application	
110 18	210	9	** Reissue cla over original p	aims in exces	ss of 20 and	Other fee (specify)					
		su	BTOTAL (2)	(\$)0		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
•						*Reduc	ed by Ba	sic Filing	g Fee Pa	aid SUBTOTAL (3) (\$)240	
**or number previ	ously paid, if	greater;	ror Reissues, se	e above		L					

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Steve Y. Cho	Registration No. (Attorney/Agent)	44,612	Telephone	650-326-2400			
Signature	Stant	4		Date	5/2/01			

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UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/708,975

11/07/2000

J. P. Leon

06969-025510US

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834



FORMALITIES LETTER

OC000000005741647

Date Mailed: 02/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing:

 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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